

THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF CALIFORNIA

BEFORE THE HONORABLE JANIS L. SAMMARTINO  
UNITED STATES DISTRICT JUDGE PRESIDING

## APPEARANCES:

FOR THE GOVERNMENT: ANDREW G. SCHOPLER  
U.S. ATTORNEY'S OFFICE  
ASSISTANT UNITED STATES ATTORNEY  
880 FRONT STREET, ROOM 6293  
SAN DIEGO, CA 92101

FOR THE DEFENDANT: THOMAS J. WARWICK  
GRIMES AND WARWICK  
2664 FOURTH AVENUE  
SAN DIEGO, CA 92013-6515

THE PROBATION OFFICER: MARVIN ENGLISH

THE COURT REPORTER: GAYLE WAKEFIELD, RPR, CRR

1 OCTOBER 16, 2015

2 AFTERNOON SESSION

3 THE CLERK: NUMBER TWO ON THE CALENDAR, 13-CR-2196,  
4 UNITED STATES VS. AMIR MOKAYEF, FOR SENTENCING AND ARRAIGNMENT.

5 MR. SCHOPLER: GOOD AFTERNOON, YOUR HONOR, ANDREW  
6 SCHOPLER ON BEHALF OF THE UNITED STATES.

7 THE COURT: THANK YOU.

8 MR. WARWICK: GOOD AFTERNOON, YOUR HONOR, TOM WARWICK,  
9 WITH GRIMES AND WARWICK, ON BEHALF OF MR. MOKAYEF, WHO IS  
10 PRESENT BEFORE THE COURT.

11 THE COURT: THANK YOU, MR. WARWICK. WE HAVE A COUPLE  
12 OF THINGS TO DO THIS AFTERNOON, SIR. GIVE ME JUST A MOMENT.

13 WE'RE GOING TO START WITH THE ARRAIGNMENT AND THE PLEA  
14 ON CASE NUMBER 2645, IS THAT CORRECT, MR. SCHOPLER AND MR.  
15 WARWICK?

16 MR. SCHOPLER: YES, YOUR HONOR, 15-CR-2645.

17 THE COURT: VERY WELL. PLEASE GO AHEAD, ALEX.

18 THE CLERK: AMIR MOKAYEF, IS THAT YOUR TRUE NAME?

19 THE DEFENDANT: YES.

20 THE CLERK: YOU ARE INFORMED THAT AN INFORMATION HAS  
21 BEEN FILED IN CASE NUMBER 15-CR-2645.

22 COUNSEL, HAVE YOU RECEIVED A COPY AND DO YOU WAIVE  
23 FURTHER READING?

24 MR. WARWICK: YES AS TO BOTH.

25 THE CLERK: SIR, YOU ARE ADVISED THAT YOU HAVE THE

1           RIGHT TO HAVE THESE CHARGES PRESENTED TO THE GRAND JURY FOR  
2           INDICTMENT OR YOU MAY WAIVE INDICTMENT AND ALLOW THE UNITED  
3           STATES ATTORNEY TO FILE CHARGES BY WAY OF INFORMATION.   DO YOU  
4           DESIRE TO WAIVE INDICTMENT?

5           MR. WARWICK:   YES, AND WE SIGNED THE APPROPRIATE  
6           DOCUMENTS.

7           THE CLERK:   SIR, IS THIS YOUR SIGNATURE ON THE WAIVER  
8           OF INDICTMENT?

9           THE DEFENDANT:   YES.

10           THE CLERK:   YOU'RE FURTHER ENTITLED TO TRIAL BY A JURY,  
11           TO BE REPRESENTED BY COUNSEL AT ALL STAGES OF PROCEEDINGS  
12           BEFORE THIS COURT, AND TO HAVE WITNESSES SUMMONED TO TESTIFY ON  
13           YOUR BEHALF.   SIR, HOW DO YOU PLEAD TO THIS ONE-COUNT  
14           INFORMATION, GUILTY OR NOT GUILTY?

15           MR. WARWICK:   YOUR HONOR, IT'S OUR INTENTION TO PLEAD  
16           GUILTY AT THIS TIME.

17           (DEFENDANT SWORN ON OATH.)

18           THE DEFENDANT:   YES.

19           THE CLERK:   THANK YOU.

20           THE COURT:   MR. MOKAYEF, DO YOU UNDERSTAND THAT YOU  
21           WERE JUST SWORN UNDER PENALTY OF PERJURY AND THAT IF YOU FAIL  
22           TO PROVIDE TRUTHFUL ANSWERS TO THE COURT THIS AFTERNOON YOU  
23           COULD FACE AN ADDITIONAL CHARGE, AND THAT WOULD BE GIVING FALSE  
24           STATEMENTS UNDER OATH OR PERJURY; DO YOU UNDERSTAND THAT?

25           THE DEFENDANT:   YES, I DO, YOUR HONOR.

1                   THE COURT: I'VE BEEN INFORMED THAT YOU WISH TO ENTER A  
2 PLEA TO THE COUNT THAT YOU WERE JUST ARRAIGNED ON; IS THAT  
3 CORRECT, SIR?

4                   THE DEFENDANT: YES, YOUR HONOR.

5                   THE COURT: I HAVE BEEN PRESENTED, MR. MOKAYEF, WITH A  
6 PLEA AGREEMENT, AND IT APPEARS TO THE --

7                   I'M GOING TO NEED A DIFFERENT COPY BECAUSE I WAS ABOUT  
8 TO ASK ABOUT SIGNING THIS AND INITIALING THIS AND I DO NOT HAVE  
9 A COPY THAT SHOWS THAT. MY COPY DOES NOT HAVE ANY OF THAT ON  
10 THERE.

11                  MR. SCHOPLER: YOUR HONOR, I PRESENTED AN UNSIGNED COPY  
12 TO THE COURT EARLIER THIS WEEK, AND I PRESENTED THE ORIGINAL,  
13 WHICH HOPEFULLY YOU HAVE RIGHT NOW.

14                  THE COURT: I WAS JUST HANDED THE ORIGINAL, WHICH IS  
15 WHAT I NEEDED.

16                  MR. WARWICK: I REPRESENT THAT THEY'RE IDENTICAL, YOUR  
17 HONOR, SINCE THE COURT HAS ALREADY LOOKED AT THE UNSIGNED  
18 VERSION.

19                  THE COURT: THANK YOU, MR. WARWICK, THAT'S HELPFUL.

20                  MR. MOKAYEF, I NOW HAVE THE ORIGINAL. I HAD A COPY,  
21 WHICH I HAVE REVIEWED. I HAVE THE ORIGINAL OF YOUR PLEA  
22 AGREEMENT, AND IN THE LOWER RIGHT-HAND CORNER OF EACH PAGE IT  
23 APPEARS THAT YOU'VE INITIALED THOSE PAGES.

24                  THE DEFENDANT: YES, I HAVE.

25                  THE COURT: AND THOSE ARE IN FACT YOUR INITIALS?

1 THE DEFENDANT: YES.

2 THE COURT: IF I GO TO THE VERY BACK OF THIS, SIR, IT  
3 APPEARS THAT THE LAST SIGNATURE ON THE LAST PAGE IS YOURS.

4 THE DEFENDANT: YES, YOUR HONOR.

5 THE COURT: IS THAT YOUR SIGNATURE?

6 THE DEFENDANT: YES, YOUR HONOR.

7 THE COURT: THIS DOCUMENT INDICATES TO ME THAT YOU'VE  
8 HAD A FULL AND COMPLETE OPPORTUNITY TO ADDRESS WITH MR. WARWICK  
9 EACH AND EVERY PROVISION OF THE PLEA AGREEMENT. IS THAT ALSO  
10 CORRECT?

11 THE DEFENDANT: YES, YOUR HONOR.

12 THE COURT: HAS HE BEEN ABLE TO ANSWER ALL OF YOUR  
13 QUESTIONS, SIR --

14 THE DEFENDANT: YES.

15 THE COURT: -- THAT YOU MIGHT HAVE ABOUT THIS DOCUMENT  
16 OR ANYTHING ABOUT THE CASE IN GENERAL?

17 THE DEFENDANT: YES, YOUR HONOR.

18 THE COURT: BEFORE WE PROCEED ANY FURTHER, DO YOU HAVE  
19 ANY OTHER QUESTIONS OF MR. WARWICK OR THE COURT?

20 THE DEFENDANT: NO, YOUR HONOR.

21 THE COURT: HAVE YOU CONSUMED ANY MEDICATION, DRUGS OR  
22 ALCOHOL WITHIN THE LAST 72 HOURS?

23 THE DEFENDANT: NO, YOUR HONOR.

24 THE COURT: HAS ANYBODY MADE ANY THREATS TO YOU, SIR,  
25 OR TO SOMEONE NEAR OR DEAR TO YOU, TO CAUSE YOU TO ENTER THIS

1 PLEA THIS AFTERNOON?

2 THE DEFENDANT: NO, YOUR HONOR.

3 THE COURT: ARE YOU PLEADING GUILTY, MR. MOKAYEF, OF  
4 YOUR OWN FREE WILL BECAUSE YOU ARE IN FACT GUILTY OF THE CHARGE  
5 IN THE INFORMATION THAT YOU WERE JUST ARRAIGNED ON?

6 THE DEFENDANT: YES, YOUR HONOR.

7 THE COURT: HAS ANYBODY MADE ANY PROMISES TO YOU, OTHER  
8 THAN THE PROMISES AND REPRESENTATIONS CONTAINED IN THE PLEA  
9 AGREEMENT, THAT'S CAUSING YOU TO CHANGE YOUR PLEA?

10 THE DEFENDANT: NO, YOUR HONOR.

11 THE COURT: ARE YOU CURRENTLY ON PROBATION, PAROLE OR  
12 SUPERVISED RELEASE FROM ANOTHER COURT?

13 THE DEFENDANT: NO, YOUR HONOR.

14 THE COURT: DO YOU AGREE WITH THAT, MR. WARWICK, TO THE  
15 BEST OF YOUR KNOWLEDGE, SIR?

16 MR. SCHOPLER: YOUR HONOR, HE'S ON PRETRIAL RELEASE IN  
17 CASE 13-CR-2196, WHICH IS THE RICO CONSPIRACY CASE.

18 THE COURT: OKAY. SO THAT'S THE ONLY CASE THAT'S  
19 BEFORE US, IN ADDITION TO THE ONE WHERE THERE'S A PRETRIAL  
20 RELEASE.

21 MR. WARWICK: THAT IS CORRECT, YOUR HONOR.

22 THE COURT: BY PLEADING GUILTY, MR. MOKAYEF, YOU GIVE  
23 UP A NUMBER OF IMPORTANT CONSTITUTIONAL RIGHTS, AND THESE  
24 RIGHTS INCLUDE A SPEEDY, PUBLIC JURY TRIAL. THE RIGHT TO  
25 CONFRONT AND CROSS-EXAMINE WITNESSES. THE RIGHT TO REMAIN

1 SILENT OR TO TESTIFY, WHICHEVER YOU MIGHT CHOOSE TO DO, AT A  
2 TRIAL. THE RIGHT TO SUBPOENA DOCUMENTS AND WITNESSES IN YOUR  
3 OWN DEFENSE. DO YOU UNDERSTAND EACH OF THESE RIGHTS?

4 THE DEFENDANT: YES, I DO, YOUR HONOR.

5 THE COURT: DO YOU WISH TO GIVE EACH OF THESE RIGHTS  
6 UP?

7 THE DEFENDANT: YES, I DO, YOUR HONOR.

8 THE COURT: DO YOU UNDERSTAND YOU HAVE THE RIGHT TO AN  
9 ATTORNEY AT ALL STAGES IN THESE CRIMINAL PROCEEDINGS AND THAT  
10 IF YOU DECIDED TO SAY AND ENTER A NOT GUILTY PLEA, YOUR  
11 ATTORNEY WOULD HONOR YOUR DECISION AND DEFEND YOU THROUGHOUT  
12 THESE PROCEEDINGS?

13 THE DEFENDANT: YES, I DO, YOUR HONOR.

14 THE COURT: ARE YOU SATISFIED WITH YOUR COUNSEL, HIS  
15 REPRESENTATION, AND THE ADVICE GIVEN TO YOU IN THIS CASE BY  
16 YOUR COUNSEL?

17 THE DEFENDANT: YES, YOUR HONOR.

18 THE COURT: DO YOU UNDERSTAND, MR. MOKAYEF, THAT YOU  
19 WERE CHARGED IN A ONE-COUNT INFORMATION WITH A VIOLATION OF  
20 SECTION 1001(A) (2), FALSE STATEMENTS TO A FEDERAL OFFICER, AND  
21 THIS RELATES TO STATEMENTS THAT YOU MADE TO THE FBI REGARDING  
22 THE AMOUNT OF PROCEEDS AND ACTIVITY REGARDING YOUR INVOLVEMENT  
23 IN WHAT I'M GOING TO CALL THE UNDERLYING MATTER, WHICH WAS I  
24 CALL IT THE LARGER PORTOCARRERO CASE. DO YOU UNDERSTAND WHAT  
25 YOU'RE CHARGED WITH HERE, SIR?

1                   THE DEFENDANT: YES, I DO, YOUR HONOR.

2                   THE COURT: DO YOU UNDERSTAND THAT IF YOU WERE TO  
3                   EXERCISE YOUR RIGHT TO PROCEED TO TRIAL, YOU WOULD BE PRESUMED  
4                   INNOCENT AND THE GOVERNMENT WOULD HAVE TO PROVE EVERY ELEMENT  
5                   OF THE CHARGES BEYOND A REASONABLE DOUBT? DO YOU UNDERSTAND  
6                   THAT?

7                   THE DEFENDANT: YES, I DO, YOUR HONOR.

8                   THE COURT: I'M GOING TO ASK THE GOVERNMENT TO STATE  
9                   FOR THE RECORD THE ELEMENTS OF THE 1001 CHARGE IN THIS NEW  
10                   INFORMATION.

11                   MR. SCHOPLER: CERTAINLY, YOUR HONOR. THERE ARE THREE  
12                   ELEMENTS TO THE CRIME OF FALSE STATEMENTS TO A FEDERAL OFFICER.  
13                   THE FIRST IS THE DEFENDANT WAS -- MADE A FALSE STATEMENT IN A  
14                   MATTER WITHIN THE JURISDICTION OF THE FEDERAL BUREAU OF  
15                   INVESTIGATION.

16                   THE SECOND IS THAT THE DEFENDANT ACTED WILLFULLY, THAT  
17                   IS, THE DEFENDANT ACTED DELIBERATELY AND WITH KNOWLEDGE BOTH  
18                   THAT THE STATEMENT WAS UNTRUE AND THAT HIS CONDUCT WAS  
19                   UNLAWFUL.

20                   AND FINALLY, THE STATEMENT WAS MATERIAL TO THE  
21                   ACTIVITIES OR DECISIONS OF THE FEDERAL BUREAU OF INVESTIGATION,  
22                   THAT IS, THAT A NATURAL TENDENCY TO INFLUENCE OR WAS CAPABLE OF  
23                   INFLUENCING THE AGENCY'S DECISIONS OR ACTIVITIES.

24                   THE COURT: DO YOU UNDERSTAND, MR. MOKAYEF, THAT THE  
25                   GOVERNMENT WOULD HAVE TO PROVE ALL OF THOSE ELEMENTS BEYOND A

1 REASONABLE DOUBT IF YOU WENT TO TRIAL?

2 THE DEFENDANT: YES, I DO, YOUR HONOR.

3 THE COURT: THERE'S A MAXIMUM PENALTY FOR THIS OFFENSE,  
4 AND THE MAXIMUM PENALTY IS AS FOLLOWS: FIVE YEARS IN PRISON.

5 A \$250,000 FINE OR TWICE YOUR PECUNIARY GAIN FROM THE OFFENSE,  
6 WHICHEVER IS GREATER. A MANDATORY SPECIAL ASSESSMENT OF \$100.

7 A TERM OF SUPERVISED RELEASE OF NOT MORE THAN THREE YEARS. AND  
8 FORFEITURE OF ALL THE PROPERTY CONSTITUTING OR DERIVED FROM THE  
9 PROCEEDS OBTAINED AS A RESULT OF A VIOLATION AND PROPERTY USED  
10 OR INTENDED TO BE USED TO COMMIT OR FACILITATE THE COMMISSION  
11 OF THE OFFENSE. DO YOU UNDERSTAND THAT MAXIMUM PENALTY, SIR?

12 THE DEFENDANT: YES, I DO, YOUR HONOR.

13 THE COURT: MR. WARWICK, WOULD YOU PROVIDE THE FACTUAL  
14 BASIS FOR YOUR CLIENT'S PLEA THIS AFTERNOON.

15 MR. WARWICK: WELL, YOUR HONOR, IT'S PRESENTED IN QUITE  
16 A BIT OF DETAIL IN THE PLEA AGREEMENT. TO SYNOPSIS IT, MY  
17 CLIENT WAS BROUGHT IN BEFORE THE FBI TO DISCUSS A MEETING HE  
18 HAD WITH ONE OF THE OTHER DEFENDANTS IN THIS CASE. THAT  
19 MEETING WAS VIDEOTAPED, AND MY CLIENT'S STATEMENTS TO THE FBI  
20 AT THE MEETING WITH THE FBI WERE INCONSISTENT AND SIGNIFICANTLY  
21 DIFFERENT THAN WHAT HE SAID TO THE CODEFENDANT IN THAT MEETING,  
22 THEREFORE, FILLING -- FULFILLING THE REQUIREMENT THAT HE WAS  
23 UNTRUTHFUL TO THE FBI, AND IT WAS MATERIAL.

24 THE COURT: DO YOU AGREE WITH WHAT YOUR ATTORNEY SAYS  
25 HAPPENED HERE?

1                   THE DEFENDANT: YES, I DO, YOUR HONOR.

2                   THE COURT: MR. SCHOPLER, ARE YOU SATISFIED WITH THE  
3                   FACTUAL BASIS AS PRESENTED?

4                   MR. SCHOPLER: YOUR HONOR, I MIGHT ADD A FEW MORE  
5                   DETAILS.

6                   THE COURT: LISTEN VERY CAREFULLY, MR. MOKAYEF, BECAUSE  
7                   I'M GOING TO ASK YOU IF YOU AGREE WITH WHAT MR. SCHOPLER SAID.

8                   GO AHEAD, SIR.

9                   MR. SCHOPLER: THE MEETING THAT MR. WARWICK REFERRED TO  
10                  OCCURRED AT THE UNITED STATES ATTORNEY'S OFFICE HERE IN SAN  
11                  DIEGO ON SEPTEMBER 4TH, 2015, AND MR. MOKAYEF WAS SPEAKING TO  
12                  FBI SPECIAL AGENT MAX REGULA AND PERSONNEL FROM THE U.S.  
13                  ATTORNEY'S OFFICE. DURING THAT MEETING, THE DEFENDANT  
14                  WILLFULLY PROVIDED FALSE MATERIAL INFORMATION TO SPECIAL AGENT  
15                  REGULA IN A MATTER WITHIN THE FBI'S JURISDICTION.

16                  SPECIFICALLY, HE FALSELY STATED THE FOLLOWING THREE  
17                  THINGS: FIRST, THAT HE DID NOT KNOW HOW MUCH OF HIS ILLEGAL  
18                  PROCEEDS HE HAD GIVEN TO JAN HARALD PORTOCARRERO AND THAT HIS  
19                  BEST ESTIMATE WAS A LITTLE OVER A MILLION.

20                  SECOND, HE STATED FALSELY THAT HE HAD NOT MADE ANY  
21                  PLANS WITH JAN HARALD PORTOCARRERO FOR THE RETURN OF HIS  
22                  ILLEGAL PROCEEDS.

23                  AND THIRD, HE FALSELY STATED THAT HE HAD NO INFORMATION  
24                  ABOUT WHETHER JAN HARALD PORTOCARRERO STILL HAD ILLEGAL  
25                  PROCEEDS.

1                   IN FACT, WHEN HE MADE THOSE FALSE STATEMENTS, THE  
2 DEFENDANT VERY WELL KNEW THAT JAN HARALD PORTOCARRERO WAS  
3 HOLDING EXACTLY \$1,955,000 OF DEFENDANT'S ILLEGAL PROCEEDS FOR  
4 HIM, THAT DEFENDANT AND JAN HARALD PORTOCARRERO MADE PLANS FOR  
5 THE RETURN OF DEFENDANT'S MONEY AFTER THE SENTENCING HEARING,  
6 AND THAT JAN HARALD PORTOCARRERO TOLD DEFENDANT THAT ALMOST \$2  
7 MILLION IN ILLEGAL PROCEEDS WAS OUTSIDE THE UNITED STATES AND  
8 COULD BE USED TO REPAY DEFENDANT.

9                   AS TO EACH OF THESE FALSE STATEMENTS, DEFENDANT ACTED  
10 DELIBERATELY AND WITH KNOWLEDGE BOTH THAT EACH STATEMENT WAS  
11 UNTRUE AND THAT HIS CONDUCT WAS UNLAWFUL. EACH OF THESE FALSE  
12 STATEMENTS WAS MATERIAL TO THE FBI'S ACTIVITIES AND DECISIONS,  
13 THAT IS, EACH STATEMENT HAD A NATURAL TENDENCY TO INFLUENCE AND  
14 WAS CAPABLE OF INFLUENCING THE FBI'S DECISIONS AND ACTIVITIES.

15                   YOUR HONOR, WE WOULD ALSO ASK THAT THE DEFENDANT SIMPLY  
16 AFFIRM, IN ADDITION TO HIS INITIALS ON EACH PAGE, THAT THE  
17 FACTUAL STATEMENTS ON PAGES 3, 4 AND 5 OF HIS PLEA AGREEMENT  
18 ARE ENTIRELY COMPLETE AND TRUE.

19                   THE COURT: MR. MOKAYEF, DO YOU AGREE WITH WHAT WAS  
20 READ OR STATED BY MR. SCHOPLER?

21                   THE DEFENDANT: YES, YOUR HONOR.

22                   THE COURT: AND GOING A LITTLE BIT FURTHER, LOOKING AT  
23 PAGES 3, 4 AND 5, WHICH CONTAIN THE FACTUAL BASIS FOR YOUR  
24 PLEA, ARE THOSE COMPLETE AND ACCURATE STATEMENTS, SIR,  
25 FACTUALLY?

1 THE DEFENDANT: YES, YOUR HONOR.

2 THE COURT: THANK YOU. MR. MOKAYEF, THE COURT WILL  
3 IMPOSE A SENTENCE BASED ON THE FACTORS SET FORTH IN TITLE 18  
4 UNITED STATES CODE 3553(A); HOWEVER, IN IMPOSING SENTENCE, THE  
5 COURT WILL CONSULT THE UNITED STATES SENTENCING GUIDELINES AND  
6 TAKE THEM INTO ACCOUNT IN DECIDING YOUR SENTENCE. HAVE YOU  
7 DISCUSSED WITH MR. WARWICK THE SENTENCING FACTORS AND  
8 GUIDELINES?

9 THE DEFENDANT: YES.

10 THE COURT: DO YOU UNDERSTAND THAT THE GUIDELINES ARE  
11 ONLY ADVISORY TO THIS COURT AND NOT MANDATORY?

12 THE DEFENDANT: YES, I DO, YOUR HONOR.

13 THE COURT: DO YOU UNDERSTAND THAT THE COURT COULD  
14 IMPOSE A SENTENCE THAT'S MORE SEVERE OR LESS SEVERE THAN THE  
15 SENTENCE CALLED FOR IN THE GUIDELINES?

16 THE DEFENDANT: YES, I DO, YOUR HONOR.

17 THE COURT: THE COURT IS NOT BOUND BY THE PLEA  
18 AGREEMENT. DO YOU UNDERSTAND THAT IF THE COURT FOLLOWS THE  
19 PLEA AGREEMENT AND SENTENCES YOU WITHIN THE PROPOSED GUIDELINE  
20 RANGE, YOU MAY NOT APPEAL THE SENTENCE OR LATER COLLATERALLY  
21 ATTACK THE SENTENCE OR JUDGMENT?

22 THE DEFENDANT: YES.

23 THE COURT: DO YOU UNDERSTAND THAT, SIR?

24 THE DEFENDANT: YES, I DO, YOUR HONOR.

25 THE COURT: IF THE COURT DOES NOT FOLLOW THE PLEA

1 AGREEMENT AND SENTENCES YOU TO SOME OTHER TERM, YOU MAY NOT  
2 WITHDRAW YOUR GUILTY PLEA. YOUR SOLE REMEDY IS TO APPEAL THE  
3 SENTENCE IMPOSED. DO YOU UNDERSTAND THAT, SIR?

4 THE DEFENDANT: YES, I DO, YOUR HONOR.

5 THE COURT: MR. WARWICK, HAVE YOU ADVISED YOUR CLIENT  
6 OF THE TERMS OF THE PLEA AGREEMENT, THE CHARGES AGAINST HIM,  
7 AND THE CONSEQUENCES OF THIS PLEA?

8 MR. WARWICK: YES AS TO ALL, YOUR HONOR.

9 THE COURT: ARE YOU AWARE OF ANY DEFENSES HE'S GIVING  
10 UP OR ANY VIOLATION OF HIS CONSTITUTIONAL RIGHTS?

11 MR. WARWICK: NO, YOUR HONOR.

12 THE COURT: DO YOU JOIN IN THE PLEA?

13 MR. WARWICK: I DO, YOUR HONOR.

14 THE COURT: MR. MOKAYEF, HOW DO YOU PLEAD, SIR, TO THE  
15 ONE-COUNT --

16 MR. SCHOPLER: YOUR HONOR, THE ONLY MATTER I WOULD WANT  
17 TO ADD IS THAT THE DEFENDANT SHOULD UNDERSTAND THAT THE  
18 PENALTIES FOR THIS CASE THAT HE'S PLEADING GUILTY TO RIGHT NOW,  
19 THE FALSE STATEMENTS CASE, AND THE RICO CONSPIRACY THE COURT  
20 WOULD HAVE THE OPTION OF ACCUMULATING THOSE PENALTIES, SO THE  
21 COURT COULD RUN THE CUSTODIAL SENTENCES CONSECUTIVELY AND THE  
22 FINES COULD BE AGGREGATED. THAT'S NOT THE RECOMMENDATION OF  
23 THE PARTIES, BUT HE SHOULD UNDERSTAND THAT THOSE PENALTIES  
24 COULD BE AGGREGATED.

25 THE COURT: DO YOU UNDERSTAND THAT, SIR?

1 THE DEFENDANT: YES, YOUR HONOR.

2 THE COURT: AND I DID UNDERSTAND THAT THERE WAS GOING  
3 TO BE KIND OF A WRAPAROUND RECOMMENDATION THAT BOTH THE DEFENSE  
4 AND THE GOVERNMENT WERE MAKING AND WERE IN AGREEMENT ON.

5 MR. SCHOPLER: THAT'S CORRECT. UNDER THE PLEA  
6 AGREEMENT THERE'S A JOINT RECOMMENDATION, YOUR HONOR.

7 THE COURT: THAT'S TRUE.

8 YOU UNDERSTAND WHAT THE PROSECUTOR SAID, THAT THEY  
9 COULD BE ADDED ON TOP OF EACH OTHER, CONSECUTIVELY?

10 THE DEFENDANT: YES, YOUR HONOR.

11 MR. WARWICK: YOUR HONOR, THAT IS REFLECTED AT LINE 3,  
12 PAGE 6, OF THE PLEA AGREEMENT, YOUR HONOR, WHICH MY CLIENT HAS  
13 READ AND SIGNED.

14 THE COURT: I'M SORRY, PAGE --

15 MR. WARWICK: PAGE 6, LINE 3.

16 THE COURT: OKAY. VERY GOOD. THANK YOU.

17 MR. WARWICK: YOU'RE WELCOME, YOUR HONOR.

18 THE COURT: SO LET ME BACK UP FOR A MOMENT BECAUSE I  
19 DID NOT STATE THE MAXIMUM PENALTY FROM A CUMULATIVE STANDPOINT  
20 WOULD BE 25 YEARS IN PRISON, A \$250,000 FINE, \$250,000 OR TWICE  
21 YOUR PECUNIARY GAIN FROM THE FALSE STATEMENT, A MANDATORY  
22 SPECIAL ASSESSMENT OF \$200, SUPERVISED RELEASE OF NOT MORE THAN  
23 THREE YEARS, AND FORFEITURE, AS I'VE ALREADY INDICATED. DO YOU  
24 UNDERSTAND THAT CUMULATIVE PENALTY EXISTS BECAUSE YOU'VE GOT  
25 THE OTHER CASE YOU'VE ALREADY ENTERED A PLEA TO?

1 THE DEFENDANT: YES, YOUR HONOR.

2 MR. SCHOPLER: AND THE MAXIMUM FINE, YOUR HONOR, WOULD  
3 BE -- FOR EACH OF THESE CASES SEPARATELY, THEY'RE \$250,000 OR  
4 TWICE HIS PECUNIARY GAIN ADDED TOGETHER.

5 THE COURT: CORRECT.

6 DO YOU UNDERSTAND THAT, SIR?

7 THE DEFENDANT: YES, I DO, YOUR HONOR.

8 THE COURT: SO THUS FAR -- LET ME ASK YOU, MR.  
9 SCHOPLER, HAVE WE COVERED EVERYTHING THUS FAR, SIR?

10 MR. SCHOPLER: YES, YOUR HONOR.

11 THE COURT: THANK YOU.

12 MR. MOKAYEF, LET ME ASK YOU THIS, SIR, TO THE ONE-COUNT  
13 INFORMATION OF VIOLATION OF TITLE 18 UNITED STATES CODE  
14 1001(A)(2), HOW DO YOU PLEAD, SIR, GUILTY OR NOT GUILTY?

15 THE DEFENDANT: GUILTY, YOUR HONOR.

16 THE COURT: THE COURT WILL ACCEPT YOUR PLEA, SIR. I  
17 FIND THAT YOU HAVE FREELY, VOLUNTARILY, AND COMPETENTLY ENTERED  
18 YOUR PLEA. YOU UNDERSTAND THE PLEA AGREEMENT, THE CHARGES  
19 AGAINST YOU, AND THE CONSEQUENCES OF THIS PLEA. THERE IS A  
20 FACTUAL BASIS FOR THIS PLEA, AND YOU HAVE MADE A KNOWING AND  
21 INTELLIGENT WAIVER OF YOUR RIGHTS.

22 MY UNDERSTANDING IS THAT PEOPLE ARE PREPARED TO PROCEED  
23 TO IMMEDIATE SENTENCING ON THIS MATTER, IN CONJUNCTION WITH THE  
24 OTHER CHARGE; IS THAT CORRECT?

25 MR. SCHOPLER: THAT'S CORRECT, YOUR HONOR. IT IS THE

1 JOINT REQUEST OF THE PARTIES TO PROCEED TO IMMEDIATE SENTENCING  
2 AND NOT TO REQUEST A SEPARATE PSR FOR THE NEW CASE.

3 THE COURT: LET ME INDICATE THAT FOR SENTENCING ON THE  
4 UNDERLYING MATTER, TO WHICH THE 1001 IS NOW BEING ADDED, I'VE  
5 READ AND CONSIDERED THE FOLLOWING, IN ADDITION TO THE DOCUMENTS  
6 WE'VE JUST DEALT WITH: I HAVE THE PRESENTENCE REPORT. I HAVE  
7 THE GOVERNMENT'S AMENDED SENTENCING SUMMARY CHART. I HAVE  
8 DEFENDANT'S REQUEST FOR DOWNWARD DEPARTURES. I HAVE THE  
9 UNDERLYING PLEA AGREEMENT ON THE CASE ENDING 2196.

10 IS THAT EVERYTHING, MR. WARWICK AND MR. SCHOPLER.

11 MR. WARWICK: IT IS, YOUR HONOR, BUT BECAUSE OF OUR  
12 PLEA AGREEMENT UNDER 11(E)(1)(B) RECOMMENDATION TO THE COURT  
13 OUR PLEADINGS THAT WERE FILED IN ADVANCE OF THIS PLEA ARE  
14 SOMEWHAT -- NOT SOMEWHAT, ARE ACTUALLY MOOT BECAUSE THIS IS A  
15 JOINT RECOMMENDATION FOR THE PARTIES.

16 THE COURT: NO, AND I WAS GOING TO GET THERE, MR.  
17 WARWICK, AND INDICATE THAT I UNDERSTAND WHAT'S TRANSPired HERE,  
18 BUT THIS IS A JOINT RECOMMENDATION FOR CONCURRENT TIME -- AND I  
19 UNDERSTAND THE CALCULATION AND THE GROUPING THAT'S BEEN DONE  
20 HERE -- AND BASED ON EVERYTHING I KNOW I'M INCLINED TO ACCEPT  
21 THAT AND SENTENCE ACCORDINGLY. I THINK IT'S APPROPRIATE, IF  
22 THAT'S HELPFUL TO YOU.

23 I THINK THERE'S ALSO A REQUEST FOR -- I DON'T KNOW IF  
24 EVERYBODY IS IN AGREEMENT WITH EVERYTHING. THERE'S A REQUEST  
25 FOR A \$30,000 FINE, AND THERE'S A FORFEITURE ORDER. I THINK

1 IT'S THE FIFTH AMENDED ORDER OF CRIMINAL FORFEITURE IN THIS  
2 MATTER THAT I'M BEING ASKED TO SIGN.

3 PLEASE GO AHEAD AND TELL ME ANYTHING YOU WOULD LIKE TO  
4 TELL ME, MR. WARWICK.

5 MR. WARWICK: YOUR HONOR, WE HAVE UNDER THE PLEA  
6 AGREEMENT MADE A JOINT RECOMMENDATION COVERING ALL THE  
7 PARAMETERS OF SENTENCING, AND IN LIGHT OF THE COURT'S  
8 INDICATED, I WOULD SUBMIT.

9 THE COURT: VERY WELL. THANK YOU, SIR, I APPRECIATE  
10 THAT.

11 MR. MOKAYEF, IS THERE ANYTHING THAT YOU WOULD LIKE TO  
12 SAY THIS AFTERNOON, SIR, BEFORE SENTENCING?

13 THE DEFENDANT: YES, I WOULD LIKE TO SAY SOMETHING,  
14 YOUR HONOR. I WOULD LIKE TO APOLOGIZE TO MY FAMILY AND TO THE  
15 COURT FOR MY ACTIONS. I HAVE BEEN -- FOR TWO YEARS AND  
16 FOUR MONTHS NOW HAVE REFLECTED ON WHAT I HAVE DONE, AND I WAS  
17 JUST YOUNG AND NAIVE WHEN I GOT INTO THIS BUSINESS, AND  
18 ULTIMATELY I JUST GOT CAUGHT UP.

19 WITH THAT SAID, I DIDN'T DO ANYTHING MORE THAN WHAT  
20 FANDUEL AND DRAFTKINGS ARE DOING RIGHT NOW, WHICH IS TAKING  
21 BETS AND OFFERING INCENTIVES FOR REFERRALS FROM FRIENDS.

22 I HAVE A JOB NOW. I HAVE INVESTED IN A PROPERTY NEAR  
23 SAN FRANCISCO. I'VE BEEN LOOKING FOR MONTHS NOW FOR A PROPERTY  
24 AND FINALLY FOUND ONE, AND I LOVE IT. I LOVE EVERYTHING ABOUT  
25 IT. I LOVE THE CREATIVITY OF IT. I LOVE THE BACK AND FORTH

1 THAT I HAVE WITH MY ARCHITECT ON WHAT TO DO AND WHAT NOT TO DO  
2 AND WHY. I EVEN LOVE TRYING TO GET PRICING DOWN FROM MY  
3 SUBCONTRACTOR, WHICH GOD KNOWS I'M ON A BUDGET.

4 I WOULD SAY THAT PRISON ISN'T GOING TO TEACH ME  
5 ANYTHING MORE THAN I'VE ALREADY LEARNED IN THIS PAST TWO YEARS  
6 AND FOUR MONTHS. IT'S ONLY GOING TO TAKE ME AWAY FROM MY LOVED  
7 ONES AND PROLONG ME FROM MOVING FORWARD WITH MY LIFE. I WANT  
8 TO MOVE FORWARD WITH MY LIFE. IT DOESN'T BENEFIT ANYONE, YOUR  
9 HONOR, NOT ME, NOR THE TAXPAYERS, TO GO AWAY.

10 THAT'S ALL I HAVE TO SAY. THANK YOU FOR YOUR TIME.

11 THE COURT: I APPRECIATE YOUR COMMENTS.

12 MR. SCHOPLER.

13 MR. SCHOPLER: I HAVE TO PART WAYS WITH THE DEFENDANT  
14 WHEN HE SAYS THAT ALL HE DID -- THAT EVERYTHING HE DID WAS THE  
15 SAME THING THAT PEOPLE AT FANDUEL ARE DOING. HE LAUNDERED  
16 MONEY. HE LIED TO THE IRS. ULTIMATELY, HE LIED TO FBI AGENTS  
17 IN THIS CASE. AND THERE WERE VIOLENT EPISODES INVOLVED IN THIS  
18 CRIME. SO I DON'T WANT ANYONE TO GET THE IMPRESSION THAT THIS  
19 WAS MERELY LEGAL ACTIVITY THAT SKIRTED JUST TO THE WRONG SIDE  
20 OF THE LAW OR SOMETHING LIKE THAT. THERE WAS A LOT OF  
21 ASSOCIATED ACTIVITY THAT SHOULD NOT BE CONDONED.

22 HOWEVER, I THINK WE HAVE GIVEN A LOT OF CONSIDERATION  
23 TO WHAT A FAIR SENTENCE IS IN THIS CASE, AND THE PARTIES'  
24 RECOMMENDATION OF AN 18-MONTH CUSTODIAL SENTENCE IS APPROPRIATE  
25 HERE.

1 THE COURT: THANK YOU, MR. SCHOPLER.

2 FROM PROBATION.

3 THE PROBATION OFFICER: MARVIN ENGLISH, YOUR HONOR.

4 PROBATION HAS NOTHING TO ADD, UNLESS THE COURT HAS QUESTIONS.

5 THE COURT: NO QUESTIONS AT THIS TIME.

6 THE PROBATION OFFICER: I GUESS MAYBE ONE POINT OF

7 CLARIFICATION. THE PSR IS WAIVED ON THE NEW CHARGE, CORRECT?

8 THE COURT: THAT WOULD BE CORRECT.

9 MR. WARWICK: SO WAIVED ON BEHALF OF THE CLIENT, YOUR  
10 HONOR.

11 THE PROBATION OFFICER: THANK YOU, YOUR HONOR.

12 THE COURT: THANK YOU, MR. ENGLISH.

13 THE COURT HAS ADEQUATE INFORMATION TO EXERCISE  
14 SENTENCING DISCRETION IN THIS MATTER. I THINK BOTH THE  
15 GOVERNMENT AND MR. WARWICK KNOW THE COURT IS VERY FAMILIAR WITH  
16 THIS MATTER. THERE HAVE BEEN A LOT OF SENTENCINGS IN THIS  
17 CASE, AND I'M CERTAINLY PREPARED TO PROCEED.

18 LET ME INDICATE THAT I AM GOING TO FOLLOW THE JOINT  
19 RECOMMENDATION. I THINK IT'S AN APPROPRIATE ONE, ALL THINGS  
20 CONSIDERED, BUT FOR THE RECORD LET ME MAKE THE NECESSARY  
21 CALCULATION.

22 WE'VE GOT A GROUP 1, WHICH IS THE RICO CONSPIRACY WITH  
23 A BASE OFFENSE LEVEL OF 19, THE AGGRAVATING ROLE OF A PLUS 3,  
24 AND THAT RESULTS IN A TOTAL OFFENSE LEVEL FOR GROUP 1 OF A 22

GROUP 2 WOULD BE THE FALSE STATEMENT - THE NEW CASE.

1 WHICH IS 15-CR-2645. THE BASE OFFENSE LEVEL FOR THAT IS A 6.  
2 AND THE VIOLATION OF A JUDICIAL ORDER 10 -- AND THE RESULT IS  
3 AN OFFENSE LEVEL OF 10. AS WE KNOW, I START WITH THE COMBINED  
4 OFFENSE LEVEL OF MY CALCULATION OF THE 22, THE HIGHER OF THE  
5 TWO GROUPS.

6 I AM GIVING A 3-POINT REDUCTION, MR. MOKAYEF, FOR  
7 ACCEPTANCE OF RESPONSIBILITY, ALWAYS THE BETTER COURSE OF  
8 CONDUCT WHEN WE MAKE A POOR CHOICE, SO THE TOTAL OFFENSE LEVEL  
9 IS TO A 19. YOU'VE GOT 4 CRIMINAL HISTORY POINTS, WHICH PLACES  
10 YOU IN CRIMINAL HISTORY CATEGORY 3, AND THAT RANGE OF  
11 IMPRISONMENT IS 37 TO 46 MONTHS.

12 YOU'RE ENTITLED TO A 2-POINT REDUCTION FOR THE  
13 FAST-TRACK, AND I'M INCLUDING THAT, WHICH TAKES ME DOWN TO A  
14 TOTAL OFFENSE LEVEL OF 17, AND THAT'S A RANGE OF 30 TO  
15 37 MONTHS. BASED ON THE 3553(A) FACTORS THAT I'VE CONSIDERED  
16 IN THIS MATTER, THERE'S A JOINT RECOMMENDATION FROM THE DEFENSE  
17 AND THE GOVERNMENT FOR 18 MONTHS, AND THAT 18 MONTHS WOULD BE  
18 BOTH ON THE RICO AND THE FALSE STATEMENTS CHARGES TO RUN  
19 CONCURRENTLY, FOLLOWED BY A THREE-YEAR PERIOD OF SUPERVISED  
20 RELEASE ON EACH, AGAIN TO RUN CONCURRENTLY.

21 THERE WILL BE A \$30,000 FINE ON THE RICO COUNT. I'M  
22 GOING TO SIGN THE FORFEITURE ORDER. THE SPECIAL ASSESSMENT OF  
23 \$100 WILL BE IMPOSED.

24 THE PROBATION OFFICER: YOUR HONOR, IS THE COURT GOING  
25 TO SET A PAYMENT SCHEDULE ON THAT OR JUST FORTHWITH?

1 THE COURT: ON THE 30,000?

2 THE PROBATION OFFICER: YES.

3 THE COURT: I'M GOING TO ASK MR. WARWICK IF HE WANTS A  
4 PAYMENT SCHEDULE AND WHAT HIS REQUEST WOULD BE IN THAT REGARD.

5 MR. WARWICK: YOUR HONOR --

6 THE COURT: LET ME TELL YOU, MR. WARWICK, THERE WILL BE  
7 NO FINE ON THE OTHER COUNT, AND A \$100 SPECIAL ASSESSMENT, SO  
8 IT'S GOING TO BE \$30,000, AND \$200 IN SPECIAL ASSESSMENTS.

9 MR. WARWICK: YOUR HONOR, THE SITUATION IS MY CLIENT  
10 HAS TAKEN AND BORROWED AND ASKED HIS RELATIVES TO GET ENOUGH  
11 MONEY TO BUY A HOUSE, AND HE IS GOING -- HE IS IN THE PROCESS  
12 OF SWEAT EQUITY, AND ALSO SUBCONTRACTORS, AS HE DESCRIBED, AND  
13 HIS HOPE IS TO BE ABLE TO SELL THAT HOUSE AT A PROFIT. WE  
14 DON'T KNOW WHETHER THAT WILL WORK. OBVIOUSLY HE'S VERY  
15 OPTIMISTIC IT WILL, BUT AT THIS PARTICULAR POINT EVERYTHING HE  
16 HAS IS IN THAT PARTICULAR ASSET. SO I SUGGEST, YOUR HONOR,  
17 THAT WE MAY ADDRESS THIS AGAIN ONCE HE GETS OUT OF CUSTODY,  
18 FROM THE STANDPOINT OF SETTING A PAYMENT SCHEDULE, BECAUSE  
19 WE'LL THEN KNOW THE ANSWERS TO THE UNKNOWNS THAT WE HAVE AT  
20 THIS POINT.

21 THE COURT: I THINK WE NEED TO SET SOMETHING AT THIS  
22 TIME, MR. WARWICK. WE CAN'T JUST LEAVE IT OPEN-ENDED. WE  
23 COULD SET IT AT \$250, \$500 A MONTH, SOMETHING THAT WOULD BE  
24 MANAGEABLE. HE COULD ALWAYS PAY MORE THAN THAT TO GET THIS  
25 PAID OFF. WHY DON'T YOU CONFER WITH HIM. I NEED TO SET A

1 DOLLAR AMOUNT AND SOME SORT OF SCHEDULE FOR WHEN HE LEAVES  
2 CUSTODY.

3 MR. WARWICK: YOUR HONOR, IF WE CAN SET THE 250, AND  
4 OBVIOUSLY THAT CAN BE ADJUSTED IF HIS INCOME SITUATION BECOMES  
5 BETTER AND HE CAN AFFORD MORE MONEY AT THAT POINT.

6 THE PROBATION OFFICER: THANK YOU, YOUR HONOR. BECAUSE  
7 WE DON'T HAVE THE AUTHORITY TO SET IT, I WOULD ASK THAT BE SET  
8 TODAY. THAT CAN BE ADJUSTED LATER.

9 THE COURT: IT CAN BE ADJUSTED LATER, MR. MOKAYEF. YOU  
10 CAN ALWAYS PAY MORE IF YOU WANT TO GET OUT FROM UNDER THIS, BUT  
11 WE'LL SET IT AT \$250 A MONTH STARTING UPON YOUR RELEASE FROM  
12 CUSTODY.

13 THERE'S NO OBJECTION TO THE COURT SIGNING THE  
14 FORFEITURE ORDER?

15 MR. WARWICK: NO, YOUR HONOR.

16 THE COURT: DO YOU REQUEST A SELF-SURRENDER DATE AND A  
17 DESIGNATION?

18 MR. WARWICK: YES AS TO BOTH, YOUR HONOR. I'VE SPOKEN  
19 WITH ASSISTANT UNITED STATES ATTORNEY MR. SCHOPLER, AND WE'RE  
20 HOPING THIS HOUSE CAN GET SOLD AND TURN IT INTO A LIQUID ASSET  
21 RATHER THAN A REAL ESTATE, AND IT'S OUR -- I BELIEVE IT'S OUR  
22 JOINT REQUEST THAT IT BE SOMETIME IN JANUARY. I LOOKED AT THE  
23 CALENDAR AND -- FRIDAY, JANUARY 15TH, IF THAT'S ACCEPTABLE TO  
24 THE COURT AND TO THE UNITED STATES ATTORNEY.

25 MR. SCHOPLER: NO OBJECTION, YOUR HONOR.

1                   THE PROBATION OFFICER: YOUR HONOR, WE'RE GOING TO GO  
2                   OVER THE CONDITIONS OF SUPERVISED RELEASE?

3                   THE COURT: WE ARE.

4                   MR. WARWICK: AND WE'RE ALSO ASKING FOR A DESIGNATION  
5                   TO TAFT, YOUR HONOR. THAT IS UP NEAR THE AREA WHERE THIS  
6                   PROPERTY AND THE HOUSE TURNING OVER, AND BUSINESSES, AND IT  
7                   WOULD BE VERY HELPFUL IF HE WAS LOCAL.

8                   THE COURT: SO WE'RE GOING TO HAVE A SELF-SURRENDER  
9                   DATE OF THE 15TH OF JANUARY 2016, AND YOU WANT A DESIGNATION TO  
10                   TAFT.

11                   MR. WARWICK: PLEASE.

12                   THE COURT: IF THERE'S ROOM AND THE BUREAU OF THE  
13                   PRISONS DEEMS IT APPROPRIATE, THEY WILL FOLLOW THAT, SIR. I  
14                   DON'T HANDLE HOUSING FOR THE BUREAU OF THE PRISONS, SO THAT'S  
15                   WHAT'S GOING TO OCCUR THERE.

16                   WHEN YOU'RE RELEASED FROM CUSTODY, MR. MOKAYEF, YOU'RE  
17                   GOING TO BE ON THREE YEARS OF SUPERVISED RELEASE. WHAT THAT  
18                   MEANS IS YOU CAN'T VIOLATE ANY LAWS DURING THAT THREE-YEAR  
19                   PERIOD. WE WOULD HOPEFULLY HEAR FROM MR. WARWICK THAT YOU'RE  
20                   DOING WELL, THAT YOU'VE SOLD THE HOUSE, AND THAT LIFE HAS GONE  
21                   ON IN A DIFFERENT DIRECTION. IF YOU VIOLATE A LAW, WE COULD  
22                   MEET AGAIN AND YOU COULD SERVE ADDITIONAL TIME IN CUSTODY, UP  
23                   TO THE THREE YEARS.

24                   YOU'RE GOING TO FOLLOW ALL THE STANDARD CONDITIONS OF  
25                   SUPERVISED RELEASE, IN ADDITION TO THE FOLLOWING SPECIAL

1           CONDITIONS: THE FIRST ONE IS THAT YOU PROVIDE COMPLETE  
2           DISCLOSURE OF YOUR PERSONAL AND BUSINESS FINANCIAL RECORDS TO  
3           PROBATION AS REQUESTED.

4           SECOND CONDITION, YOU'RE PROHIBITED FROM OPENING  
5           CHECKING ACCOUNTS OR INCURRING NEW CREDIT CHARGES OR OPENING  
6           ADDITIONAL LINES OF CREDIT WITHOUT PROBATION'S APPROVAL --  
7           PRIOR APPROVAL.

8           THIRD IS THAT YOU REPORT ALL VEHICLES THAT YOU OWN OR  
9           OPERATE OR IN WHICH YOU HAVE AN INTEREST TO PROBATION.

10           THE FOURTH IS A SEARCH CONDITION, THAT YOU SUBMIT YOUR  
11           PERSON, YOUR PROPERTY, YOUR RESIDENCE, YOUR OFFICE, YOUR  
12           VEHICLE TO A SEARCH CONDUCTED BY PROBATION, PROVIDED THE SEARCH  
13           IS DONE AT A REASONABLE TIME, AND IN A REASONABLE MANNER, BASED  
14           ON A REASONABLE SUSPICION OF CONTRABAND OR A VIOLATION OF A  
15           CONDITION OF YOUR RELEASE. IF YOU FAIL TO AGREE TO A SEARCH,  
16           THAT CAN BE GROUNDS FOR REVOKING YOU, AND WHOEVER LIVES WITH  
17           YOU, SIR, MUST KNOW ABOUT THIS CONDITION.

18           THE FIFTH CONDITION IS YOU NOTIFY THE COLLECTIONS UNIT  
19           OF THE UNITED STATES ATTORNEY'S OFFICE OF ANY INTEREST IN  
20           PROPERTY OBTAINED DIRECTLY OR INDIRECTLY, INCLUDING ANY  
21           INTEREST OBTAINED IN ANY OTHER NAME, ENTITY, INCLUDING A TRUST,  
22           PARTNERSHIP OR CORPORATION, UNTIL RESTITUTION IS PAID IN FULL.

23           THE SIXTH CONDITION, NOTIFY THE COLLECTIONS UNIT OF THE  
24           U.S. ATTORNEY'S OFFICE BEFORE TRANSFERRING ANY INTEREST IN ANY  
25           PROPERTY OWNED DIRECTLY OR DIRECTLY BY YOU, INCLUDING ANY

1 INTEREST HELD OR OWNED UNDER ANY OTHER NAME OR ENTITY,  
2 INCLUDING TRUSTS, PARTNERSHIPS, CORPORATIONS, UNTIL RESTITUTION  
3 IS PAID IN FULL. GIVEN THE SORT OF BUSINESS YOU'RE HOPING TO  
4 GO INTO, YOU'VE GOT TO KEEP THEM INFORMED OF WHAT YOU'RE DOING  
5 THERE, SIR.

6 WE'VE ALREADY TAKEN CARE OF THE FINE AND SETTING THE  
7 AMOUNT THAT WILL BE PAYABLE UPON RELEASE FROM CUSTODY AT \$250 A  
8 MONTH. YOU CAN ALWAYS PAY MORE THAN THAT, SIR.

9 I'M CHECKING BOTH PLEA AGREEMENTS. THERE IS A WAIVER  
10 OF APPEAL IN BOTH PLEA AGREEMENTS.

11 MR. MOKAYEF, IN BOTH YOUR PLEA AGREEMENTS, ON THE RICO  
12 CHARGE AND ON THE FALSE STATEMENT CHARGE, BOTH PLEA AGREEMENTS  
13 CONTAIN WAIVERS OF COLLATERAL ATTACK AGAINST THE JUDGMENT AND  
14 THE SENTENCE THAT I'VE IMPOSED; DO YOU UNDERSTAND THAT?

15 THE DEFENDANT: YES, I DO, YOUR HONOR.

16 THE COURT: YOU'VE WAIVED YOUR RIGHT TO APPEAL OR  
17 COLLATERALLY ATTACK THE JUDGMENT IN BOTH CRIMINAL CASES AND THE  
18 SENTENCE ON BOTH, BECAUSE I'VE SENTENCED YOU ON BOTH, BUT  
19 THEY'RE RUNNING CONCURRENT.

20 THE DEFENDANT: YES, YOUR HONOR, I UNDERSTAND.

21 THE COURT: VERY WELL. LET'S ALL TAKE A MOMENT,  
22 BECAUSE WE'VE DONE A LOT HERE THIS AFTERNOON, AND MAKE SURE  
23 I'VE COVERED EVERYTHING. MR. WARWICK, MR. SCHOPLER, PROBATION.

24 MR. SCHOPLER: YOUR HONOR, WE DO WANT TO MAKE SURE THAT  
25 THE RICO CONSPIRACY JUDGMENT, THAT'S 13-CR-2196, DOES REFLECT

1 THE FORFEITURE, WHICH I THINK THE COURT WAS GOING TO DO.

2 THE COURT: IT WILL REFLECT THAT, AND I JUST SIGNED THE  
3 FIFTH AMENDED ORDER OF FORFEITURE.

4 MR. SCHOPLER: THANK YOU, YOUR HONOR.

5 WILL THE COURT BE SETTING A BOND EXONERATION HEARING?

6 THE COURT: WE'VE GOT A SELF-SURRENDER DATE IN JANUARY.

7 WE WILL SET AN EXONERATION DATE ABOUT A WEEK THEREAFTER, ALEX.

8 THE CLERK: JANUARY 22ND, 2016, AT 9:00 A.M.

9 MR. SCHOPLER: AT THIS TIME, YOUR HONOR, THE UNITED  
10 STATES WOULD MOVE TO DISMISS WITHOUT PREJUDICE ANY OUTSTANDING  
11 CHARGES AGAINST MR. MOKAYEF.

12 THE COURT: THANK YOU VERY MUCH. THAT MOTION WILL BE  
13 GRANTED.

14 MR. WARWICK -- WE'RE NOW HANDING YOU, SIR, COPIES OF  
15 THE CONDITIONS THAT I IMPOSED. KEEP THAT PAPERWORK, SIR, IT'S  
16 IMPORTANT FOR YOU TO HAVE THAT.

17 MR. WARWICK, ANYTHING I DIDN'T COVER, SIR?

18 MR. WARWICK: NO, YOUR HONOR, YOU'RE VERY THOROUGH.  
19 THANK YOU FOR LETTING ME GO TO MY MEETING THIS MORNING, I  
20 APPRECIATE IT.

21 THE COURT: UNDERSTOOD. WE HAD A LOT TO COVER, SORRY  
22 TO HAVE YOU WAIT.

23 MR. WARWICK: NO, I APPRECIATE YOU LETTING ME GO.

24 THE COURT: WELL, WE THOUGHT OF BARRING THE DOORS BACK  
25 THERE, BUT THEN I THOUGHT BETTER.

1                   I WOULD LIKE TO HEAR FROM MR. WARWICK, SIR, THAT YOU'RE  
2 DOING WELL AND THAT THE PROPERTY YOU'RE WORKING ON GOES WELL.

3                   THE DEFENDANT: THANK YOU.

4                   MR. WARWICK: THANK YOU. HAVE A NICE WEEKEND.

5                   (THE HEARING CONCLUDED.)

6  
7  
8  
9  
10                   C E R T I F I C A T E  
11  
12

13                   I, GAYLE WAKEFIELD, CERTIFY THAT I AM A DULY  
14                   QUALIFIED AND ACTING OFFICIAL COURT REPORTER FOR THE UNITED  
15                   STATES DISTRICT COURT, THAT THE FOREGOING IS A TRUE AND  
16                   ACCURATE TRANSCRIPT OF THE PROCEEDINGS AS TAKEN BY ME IN THE  
17                   ABOVE-ENTITLED MATTER ON OCTOBER 16, 2015; AND THAT THE FORMAT  
18                   USED COMPLIES WITH THE RULES AND REQUIREMENTS OF THE UNITED  
19                   STATES JUDICIAL CONFERENCE.

20                   DATED: APRIL 27, 2018

21                   /S/ GAYLE WAKEFIELD  
22                   GAYLE WAKEFIELD, RPR, CRR  
23                   OFFICIAL COURT REPORTER